**Standardised reporting information- results framework for the Climate and Forest funding to civil society 2016-2020**

*Please note: You are only asked to fill out this if you have not already integrated the information in the project’s results framework.*

As described in the call for proposals, three overall outcomes have been defined for this grant scheme. During the five-year grant period, Norad will aim to systemise and aggregate some of the portfolio’s total achievements. This is important in order to communicate the progress being made by this civil society portfolio as a whole during the five-year period. Therefore, we have standardised some reporting information, on which we believe that several organisations will be able to report.

We kindly ask all organisations to integrate all the relevant standardised reporting information into your results framework. This means that we expect all applicants to submit your own results framework that includes *all* *relevant* standardised reporting information described in this appendix.

The results framework will constitute the foundation for the annual and final reports from each organisation. This means that each organisation will report on its individually decided results framework and the standardised reporting information. Based on the organisations’ reports, Norad will be able to systemise and aggregate the standardised reporting information.

# Outcomes, clarifications and standardised reporting information

## **Outcome 1: Incentives to achieve REDD+ efforts are established through the new international climate regime and/or other climate, environment and development funding streams.**

**Clarifications of concepts:**

**Incentives:** Results-based funding, access to funding/loans

**Actors:** The actors referred to in the outcome are countries and jurisdictions on a sub-national level.

**REDD+ efforts:** Reducing emissions from deforestation and forest degradation in developing countries; and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries.

*Did your project contribute to REDD+ funding being pledged/received at a global level and/or national and/or sub-national level in the targeted developing countries during the year reported on? Please specify the details below.*

**1.1 : Amount (USD) pledged/received, your project’s contribution:** Please describe as short as possible how your project has contributed to the change in the REDD+ amount pledged/received during the year reported on:

**1.2:** **Amount (USD) pledged/received:** Please provide the following details on the REDD+ funding being pledged/received:

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| --- | --- | --- | --- | --- | --- |
|  | **Global/national/sub-national level** (if several project locations) | **Description**  | **Baseline (amount in USD)** |  **Amount in USD for the year reported on** | **Data source** |
| **Y1** | **Y2** | **Y3** | **Y4** | **Y5** |
|  |
| Funding pledged globally (USD) |  |  |  |  |  |  |  |  |  |
| Funding pledged by targeted donor country (USD) |  |  |  |  |  |  |  |  |  |
| Funding received by targeted developing country (USD) |  |  |  |  |  |  |  |  |  |

Please feel free to add rows in the table if necessary.

## **Outcome 2: Governments in targeted developing countries have implemented REDD+ related policies, measures and safeguards, such as policies for green growth, sustainable livelihoods, land use-planning, the rights of indigenous peoples and local communities and women’s rights.**

**Clarifications of concepts:**

* **REDD+ related policies and measures**: A policy may be a plan, strategy, practice that is formally agreed upon and announced. A measure may be the operationalisation of a policy, but it may also be detached from formal policies. Policies and measures are widely defined, and the grant recipients will have to define the country and the type of REDD+ related policy and measures they are trying to influence/get implemented. Examples are green growth, sustainable livelihoods, land use-planning, the rights of indigenous peoples and local communities and women’s rights e.g. related to tenure, access to land and participation in REDD+.
	+ Norad recognises that implementation of policies, measures and safeguards is a dynamic process that changes slowly over time. However, we are still interested in the progress towards the full implementation of policies/measures/safeguards. Therefore, the standardized reporting information below describe steps on the way towards full implementation of a policy measures/safeguards.
	+ Pilot projects and/or policies on a jurisdictional level may also be regarded as steps on the way to have the policy/measures/safeguards implemented.
	+ Norad recognises that a single project might not contribute to a policy/measure/safeguard being implemented. However, the efforts of the portfolio as a whole might have this effect over time.
	+ Please note: In order to list policy changes, you or your partner(s) should have implemented actions/activities that have significantly contributed to the change. You should not include policy changes happening previous year, even if you contributed to such change.
* **REDD+ safeguards:** The 7 safeguards agreed in Cancun 2010. Please see 2.2 for the full list.
* **Targeted developing countries:** As of March 2015, the targeted developing countries are Brazil, Indonesia, Guyana, Ethiopia, Vietnam, Ecuador, Myanmar, DR Congo, Peru, Colombia and Liberia.

*Did your project contribute to governments’ implementation of REDD+ relevant policies and/or measures in the targeted developing countries during the year reported on? Please specify the details below.*

**2.1 Your project’s contribution:** Please describe as short as possible how your project has contributed to the implementation process during the year reported on. This may also include preparatory work and measures for increased participation of certain groups, capacity building etc.

**2.1.a:** **Scale: Level of implementation of REDD+ related policy/measure**

1. There is no REDD+ related policy/measure in place to address the area concerned.
2. The targeted government explores a potential REDD+ related policy/measure. This stage may include feasibility studies, needs analysis etc.
3. The targeted government adopts/agrees on a REDD+ related policy/measure. This stage describes a formal decision on implementing a policy/measure.
4. The targeted government develops a REDD+ related policy/measure. This stage may include policy-planning, timelines, participation of stakeholder groups etc.
5. The targeted government initiates the implementation of a REDD+ related policy/measure. This stage may include budget allocations, capacity building of relevant professionals etc.
6. The targeted government implements and enforces a REDD+ related policy/measure. This stage may include the execution of policies/measures with observed changes on the ground.

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| --- | --- | --- | --- | --- |
| **Name and type of policy or measure**  | **Location and policy level (National/ sub-national/ pilot project)** |  **Baseline (please refer to the numbers in the scale above)** |  **Status of implementation (please refer to the numbers in the scale above)** | **Data source** |
| **Y1** | **Y2** | **Y3** | **Y4** | **Y5** |
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Please feel free to add rows in the table if necessary.

**2.1.b Gender equality:** If relevant, please describe how the policy/measure may improve gender equality

**2.1.c Transparency and/or law enforcement:** If relevant, please describe how the policy/measure may lead to improved transparency and/or law enforcement in the forest sector

**2.1.d Sustainable livelihoods:** If relevant, please describe how the policy/measure may contribute to sustainable livelihoods

**2.1.e Reduced deforestation:**  If relevant, please describe how the policy/measure may lead to reduced deforestation

**2.2. Table: Cancun safeguards**

Please describe any change in the development, policy change or implementation of safeguards during the year reported on, to which your organisation or partners have contributed.

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| --- | --- | --- | --- | --- |
| **Safeguard category** | **Country and case/process** | **Change (the year reported on)** | **Your organisation and/or partner(s)’ contribution**  | **Data source** |
| 1. Consistency between national forests programmes and international conventions and agreements |  |  |  |  |
| 2. Transparent and effective national forest governance structures |  |  |  |  |
| 3. Respect for the knowledge and rights of indigenous peoples and members of local communities |  |  |  |  |
| 4. The full and effective participation of relevant stakeholders, in particular indigenous peoples and local communities |  |  |  |  |
| 5. Conservation of natural forests and biological diversity and enhancement of other social and environmental benefits |  |  |  |  |
| 6. Actions to address the risks of reversals |  |  |  |  |
| 7. Actions to reduce the displacement of emissions |  |  |  |  |

Please feel free to add rows in the table if necessary.

**2.3 Hectares of land gained and accessed, your project’s contribution:** Please describe shortly how your project has contributed to hectares of land gained and accessed during the year reported on. If your project has contributed to commitments made towards granting rights to indigenous people/forest dependent communities and their access to that land, please include this as an achievement in your comment below.

**2.3.a: Hectares of land** to which Indigenous Peoples and forest dependent communities gain rights over and have access

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| --- | --- | --- | --- | --- | --- |
| **Country and location** |  **Name of indigenous groups/forest dependent community** |  **Type of rights and access to land** | **Baseline** | **Hectares gained** | **Data source** |
| **Y1** | **Y2** | **Y3** | **Y4** | **Y5** |
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Please feel free to add rows in the table if necessary.

**2.3.2 Gender:** Please describe how women in particular have access to the land, both formally and informally.

## **Outcome 3: Private sector actors have implemented social and environmental policies and practices that reduce the pressure on forests, and are engaged in global public private partnerships to reduce deforestation.**

Clarifications of concepts:

* **Private sector actors:** producers, traders, investors, financial institutions in targeted deforestation related commodities
* **Global public private partnerships:** Regional and national public private partnerships may be steps towards reaching global public private partnerships.
* **Engaged:** requires a partnership with one or more government entities. It is not sufficient that governments are informed about the efforts of private sector actors. Rather, governments must be active partners for this goal to be reached.
* Although this outcome is twofold, both elements must be in place to fulfil the outcome. To ensure real and long-term effects on reducing deforestation, private companies must also engage with public authorities.

*Did your project contribute to private actors’ implementation of social and environmental policies and practices that reduce the pressure on forests, and are they engaged in global public private partnerships to reduce deforestation during the year reported on? Please specify the details below.*

**3.1 Implementation of social and environmental policies and/or practices and private-public partnerships, your project’s contribution:** Please describe as short as possible how your project has contributed to the reported change during the year reported on. This may also include preparatoty work and measures for increased participation of certain groups, capacity building etc.:

 **3.1.1: Scale: Level of implementation of private actors’ social and environmental policies and practices that reduce the pressure on forests**

1. There is no social and environmental policy and/or practice in place;
2. The targeted private actor explores a potential social and environmental policy and/or practice. This may include appraisals, feasibility studies, needs analysis, cost/benefit etc.
3. The targeted private actor adopts/agrees on a social and environmental policy and/or practice. This stage describes a formal decision on implementing a policy and/or measure.
4. The targeted private actor develops a social and environmental policy and/or practice. This may include policy planning, timelines, participation of stakeholder groups, etc.
5. The targeted private actor initiates the implementation of a social and environmental policy and/or practice. This may include budget allocations, capacity building of relevant professionals, transparency measures etc.
6. The targeted private actor implements and enforces a social and environmental policy and/or practice. This stage may include the execution of policies/practice with observed changes on the ground, reduced deforestation in a value chain or area etc.

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| --- | --- | --- | --- | --- | --- | --- | --- |
| **Type of policy/ practice change/ transparency measure** | **Company name** | **Commodity and location** | **Scope\* of policy/ measure**  | **Baseline (please refer to the scale above)** |  **Status of implementation/ (please refer to the scale above)** |  **Stakeholders involved** | **Data source** |
| **Y1** | **Y2** | **Y3** | **Y4** | **Y5** |
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Please feel free to add rows in the table if necessary. The columns titled in grey are optional to fill out.

\*Examples of scope: 85 percent of global production of palm oil, 40 percent of Brazil’s soy exports etc.

**3.1.2 Private-public partnership:** Please describe the related public-private partnership to reduce deforestation in which the targeted private actor engages:

**3.1.3 Reduced pressure on deforestation and reduced deforestation:**  Please describe how the policy/practice may lead to reduced pressure on deforestation and reduced deforestation: