ANNEXES 4-9

Implementation and policy coherence: Evaluation of the interaction between Norwegian humanitarian aid, development cooperation and peace efforts

Country report: Ethiopia





Annex 4: Theory of change

The figure below represents in a schematic way the Theory of Change with regard to coherence between Norwegian HDP efforts, as provided in the Inception report.

Figure 3: Theory of change for coherence at the country level

Theory of change for coherence at country level

Activities Outputs Impact Inputs Outcomes Humanitarian At the levels of internal and external implementation coherence actors Agility and Collaboration Reduced Development responsiveness to Regular consultations with other HDP actors to identify new vulnerabilities via context actors opportunities for synergy and direct engagement to increase humanitarian aid operational impact and geographic reach, agree on sequencing Coherent subnational Peace actors and alignment, and identify shared, cumulative outcomes. Can also targeting of aid Norway's help cover gaps (financing, comparative advantage). Happens Reinforced HDP policies primarily during implementation. linkages benefiting Root causes of crises Financing affected population Complementarity and conflicts are Context specificity Anticipating and identifying collective outcomes and synergies addressed, dependence Capacities Working towards among HDP actors and pro-grams and building these into program Addressed on humanitarian aid is collective outcomes Multilateral designs, geographic presence and scale, and sequencing of development needs reduced, sustainable Long-term thinking / policies and activities. Mostly sought during planning but it can become a via development development is sustainability priority during implementation as well. guidance cooperation achieved, and capacity Conflict mitigation of local and national Coordination actors to address National and local Improving the quality, coverage, and timeliness of programmes conflict is enhanced through ongoing, structured information sharing with other HDP ownership actors before and during programme delivery. Critical during both Well-utilized planning and implementation. comparative Improved prevention advantages of HDP and resolution and At the level of policy coherence actors reduced risks via Upholding of human conflict prevention Conflict analyses informing conflict-sensitive action rights and peacebuilding Localization in HDP strategy, planning, and implementation Operationalization of rights-based approaches Crosscutting issues: Gender, environment, human rights, protection, conflict sensitivity, localization, humanitarian principles



Annex 5: Key concepts

This annex provides the conceptual framework agreed for this evaluation in the inception phase.

5.1.1. Overview on coherence

Building a shared understanding of coherence of HDP approaches in specific country contexts is not straightforward. It is nevertheless important to have a shared understanding of coherence between the Evaluation Team and Norad.

The ToR mentions that "In the evaluation, **coherence** is understood as the compatibility of humanitarian, development and peace interventions with other humanitarian, development and peace interventions in a given country. Coherence can be broken further down into two sub-types of coherence: implementation coherence, which pivots around the relation between interventions; and policy coherence, understood as coherence between interventions and the overall policy level or normative commitment."

We further clarify this understanding as follows:

- **Implementation coherence** focuses on relationships between humanitarian, development, and peace (HDP) interventions internally within the interventions of Norway and externally with other actors.
 - Internal implementation coherence refers to the degree to which Norway's humanitarian, development and peacebuilding interventions are coordinated, complementary, and collaborative across the family of Norwegian actors in country.
 - External implementation coherence captures how Norwegian institutions and their HDP programmes engage with other strategic actors and local dynamics through regular coordination meetings involving community actors, government counterparts and other aid agencies, as well as bilateral donors and multilateral institutions in country.
- **Policy coherence** in this study refers to the interaction between Norway's HDP work and its policies and progress on localization, rights-based approaches, conflict sensitivity, and considers how these policies are shaping programming, planning, and strategy. Policy coherence also has its **internal and external dimensions**, regarding shared analysis (conflict studies, PEAs) and policies across Norwegian aid institutions, and between those institutions and external aid partners and national stakeholders.

The understanding of both implementation coherence and policy coherence are detailed hereafter.



5.1.2. Implementation coherence

A coherent approach at implementation level aims at the following outputs, in line with the ToR:

- Coherent geographic targeting of aid at the subnational level, ensuring no geographical separation between humanitarian and development aid with both reaching the most conflict-affected areas;
- 2) *Early and continued engagement* of development actors in crises and the adoption of *longer-term perspectives*;
- 3) **Reinforced linkages** between humanitarian, development and peace efforts, to the benefit of affected populations and respecting neutrality/impartiality while strengthening state institutions and social contract; and
- 4) **Pursued collective outcomes** by international actors, making use of their respective complementarities and comparative advantages in a multiyear perspective.

Based on the definition and breakdown of implementation coherence above, the Evaluation Team will look into how this coherence is operationalized on the ground, i.e. the active efforts that actors can pursue to ensure a coherent approach. This is broken down into three **activity dimensions**: *collaboration, complementarity, and coordination*:⁶⁹

- **I. Collaboration**: Regular consultations with other HDP actors to identify new opportunities for synergy and direct engagement to increase operational impact and geographic reach, agree on sequencing and alignment, and identify shared, cumulative outcomes. Collaboration can also help cover gaps (financing, comparative advantage).
- **II. Complementarity**: Anticipating and identifying collective outcomes and synergies among HDP actors and programmes and building these into program designs, geographic presence and scale, and sequencing of activities.
- **III. Coordination**: Improving the quality, coverage, and timeliness of programmes through ongoing, structured information sharing with other HDP actors before and during programme delivery.

These dimensions vary in importance between **planning** and **delivery** of interventions, as per the below figure.

Figure 4: Prevalence of dimensions per phase



Presence per phase

The table below shows the breakdown of these elements between internal and external implementation coherence.

We note that there exists no established definition of the three components of coherence listed above (3Cs) in the relevant HDP literature, despite the 3Cs being commonly used in triple nexus evaluations. Coherence itself is generally referred to as 'alignment' or 'compatibility', but without fixed indicators to further measure or evaluate its presence or absence. https://legalinstruments.oecd.org/en/instruments/OECD-LEGAL-5019#mainText



Table 2: Dimensions of implementation coherence

	Internal implementation coherence	External implementation coherence
_	Seeking opportunities to mutually reinforce	Regular, ongoing consultations with other IPs,
녍	ongoing programmes as events unfold	donors, local authorities, and communities involved
ora	(context) and as program outcomes	in HDP actions, to create more opportunities for
Collaboration	materialize (positive or not), to maximize	joint impact and sustainability. Collaboration also
<u> </u>	results through greater synergy (vs silos or	helps ensure effective buy-in from national and sub-
	isolated engagement).	national partners down to community level. It can
		foster participatory approaches to delivery
		modalities, including local feedback mechanisms
		(MERL) to improve accountability.
<u>ج</u>	Whether Norwegian actors identify country	Whether Norwegian actors seek complementarity
arit	specific collective outcomes in their	across the HDP nexus, by planning and designing
ııtı	planning and program design, to which	programmes and strategies that accommodate and
Complementarity	other Norwegian actors can contribute,	reflect UN country strategies, national development
ple	while operating according to their	plans, and humanitarian response plans, to
_ E	respective mandates and objectives. These	maximize Norwegian comparative advantage
Ü	collective outcomes should be simple,	among an array of HDP actors, and to avoid
	focused and measurable, with theories of	duplication or isolated endeavours.
	change that clearly delineate inputs from	Complementarity as alignment across HDP actions
	different actors and how these contribute	can also be geographical (rural/urban) and/or
	to specific outcomes.	temporal (sequential).
E	Whether regular, structured information	Whether Norwegian actors engage in regular
Coordination	sharing transpires between Norwegian	consultations with other HDP actors, including
i.	actors on national and regional planning	undertaking joint risk-informed, gender-sensitive
ord	and delivery around HDP, including	analysis of root causes and structural drivers of
S	questions of funding and handover to state	conflict, as well as positive factors of resilience and
	or local counterparts.	the identification of collective outcomes
		incorporating humanitarian, development and
		peace actions.

5.1.3. Policy coherence

There is no unified HDP policy nor practical guidance specifically on implementing the 'triple nexus'. There is, however, growing consensus among humanitarian, development and peace on the need for an approach to strengthening work along the HDP nexus. But in practice, for historical reasons and mandate legacies, each of the three pillars remains relatively siloed, even resistant to integration or seeking synergies. That said, policy coherence in the context of this study revolves around three dimensions:

- 1) Conflict sensitivity and maximizing positive effects across HDP actions;
- 2) Localisation, engagement of national and local actors and institutions, including capacity strengthening and transitioning to nationally and locally-led approaches; and
- 3) Rights-based approaches including accountability, transparency, non-discrimination, and participation (as per original TOR).

The evaluation matrix details the three dimensions above. Some illustrative examples are provided below, noting that each country context has its specificities:

https://www.chaberlin.org/wp-content/uploads/2020/05/2020-05-triple-nexus-threat-or-opportunity-dubois-en-1.pdf



- *Shared strategies*: shared humanitarian, development, and peace strategies among the donor community, the UN family, and national stakeholders in country.
- Shared internal analyses and lessons learned (conflict assessments, political economy analyses, programme evaluations, White Papers or policy notes) on HDP matters among donors, UN family, and national stakeholders.
- Development of localisation plans (timeline, metrics) for HDP actors in country, with a
 focus on sustainable handovers between humanitarian and development partners, or
 between development partners and national government.
- Choice of local partners for HDP programming in country For state partners, NGOs and CSOs, we will focus on selection criteria and success rates of partnerships and handovers.

5.1.4. Interaction between health and peace

Two sectors of special focus in this assignment are health and peace. **Health interventions** are broken down into *humanitarian health* interventions or medical response activities with life-saving goals and *development health* interventions with longer-term aims of supporting existing public health services and increasing access to all. **Peace interventions** contain two complementary modes: *institutional engagements* (top-down interventions) seeking to end conflict through military and/or diplomatic means, including international peacekeeping and state-led stabilization efforts that improve national and sub-national insecurity, and *local level peacebuilding* (bottom-up) that promotes social cohesion through activities around conflict prevention, dispute resolution, and social dialogue, including early warning initiatives at the community level.

The **focus** in this evaluation is on the interlinkages and interplay between **humanitarian health**, **development health and local level peacebuilding**, and the goal is to better understand how they interact.⁷¹ A peace intervention could contribute to positive health outcomes, such as reducing sexual and gender-based violence (SGBV). From this perspective, the team will build on Phase 1 to carefully examine dynamic interplays and synergies with the peace sector. In DRC, where there is a strong commitment to climate and forestry funding, the Evaluation Team will examine possible strategic linkages and coherence between this sector and health and peace.

The extent to which Norway's funding promoted **equitable access** to resilient health services (physical and mental health) for vulnerable and/or conflict affected communities is an additional topic for examination. Support for access to health can address drivers of conflict in affected communities. Conversely, poor access to health services leads to feelings of exclusion and injustice by the government and, potentially, aid actors. It may also generate perceptions of preferential treatment and discrimination among groups. Injustices in the health sector can be both a driver and a root cause of conflict. But health programming can also provide a neutral starting point for bringing together communities towards mutually beneficial objectives, such as reducing violence against women.

What forms of coherence might exist between Humanitarian and Peace sectors? Many humanitarian health programmes for conflict-affected populations such as IDPs and refugees also provide free basic healthcare to local communities, effectively investing in social cohesion and preventing an escalation of inter-group tension. How might a peacebuilding overlap with humanitarian action in the health sector? In DRC, the MONUSCO Stabilization Unit conducts behavior change activities with police and security forces (positive masculinity & public accountability) in order to reduce violence against women. Similarly, humanitarian mine action not only prevents further violence but also reduces incidents of war-related trauma, particularly for children.



The framework below illustrates an idealized flow of progressive, cumulative interaction between humanitarian and developmental Health programmes with Peace sector initiatives. It builds on the World Health Organization's framework that is applicable at the national level. The Evaluation Team will look at certain elements within this framework as part of the study – the details can be found in the Evaluation Matrix.

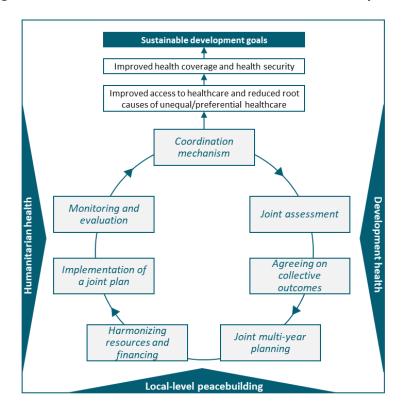


Figure 5: Framework for interaction between health and peace

Source: adapted from Bridging the divide: a guide to implementing the Humanitarian-Development-Peace Nexus for health, WHO, Regional Office for the Eastern Mediterranean.



Annex 6: Evaluation Matrix

The evaluation matrix below was built during the inception phase of the evaluation in discussion with Norad.

Components	Indicators	Methods	Sources
EQ I. To what extent are humanitaria between interventions)?	n, development and peace interventions funded by Norway coherent	at the implement	ation level (i.e., relations
EQ I.a. To what extent have there been	n spatial and longitudinal coherence in Norwegian HDP programming?		
Coordination (internal) Line of inquiry: To what extent has there been information sharing between Norwegian HDP actors during planning and implementation.	 Evidence of regular, structured information sharing between Norwegian actors on national and regional planning and delivery around HDP, over time and with geographic focus. Evolution of spatial coordination among Norwegian HDP actors - instances of change/adaptation/learning. 	KIIs, Document review, survey, FGD where appropriate	Archive documents, Norway aid institutions, agreement and implementing partners. Phase 1 geospatial analysis
Complementarity (internal) Line of inquiry: To what extent were collective outcomes and synergies identified by Norwegian HDP actors and programmes (designs, geographic presence and scale, and sequencing of activities) before and during implementation.	 Evidence of intentional complementarity and synergies between H, D & P (workplans, strategies), including: Joint H, D and P outcome statements. Evidence of synergies in results frameworks. H, D and P funding allocations reflect spatial and longitudinal coherence. Geographic complementarity between H, D, and P relative to drivers of conflict and fragility. Evidence of early recovery interventions under H programming. Early and continuous development programmes in conflict and 	Klls, Document review, survey, FGD where appropriate	Archive documents, Norway aid institutions, agreement and implementing partners. Phase 1 geospatial analysis Needs assessments, conflict analyses at
	crisis affected areas. – Evidence of integration of peace actions in H and D programmes.		country level (UN agencies, government, NGOs)
Collaboration (internal) Line of inquiry: To what extent did regular consultations take place with and between Norwegian HDP actors (agreement and implementing partners) to identify opportunities for operational	 Evidence of collaboration between H-D-P actors in country: Use of joint conflict analysis and understanding of drivers of conflict and fragility. Evidence of collaborative efforts in response to emerging and protracted crises in the 3 country case studies. Evidence of joint implementation or programmes or actions. Evidence of collaboration on peace actions at community level. 	Klls, Document review, survey, FGD where appropriate	Archive documents, Norway aid institutions, agreement and implementing partners



Components	Indicators	Methods	Sources
synergies to increase operational impact and geographic reach.	 Evidence of sharing knowledge and programme resources. Evidence of collaboration between Health programmes (humanitarian and development) and Peace actions. 		
EQ I.b. To what extent (and eventually	how) do humanitarian, development and peace interventions combine	to respond to cont	extual changes?
Complementarity (Internal) Line of inquiry: Evidence of HDP interventions and actors (Norwegian actors, including agreement and implementing partners) adjusting in response to changes in conflict and fragility dynamics.	 Evidence of adjustments in H, D and P interventions: Regular M&E and conflict analysis informs adaptive programming. Evidence of seeking intentional synergies between HDP actors including geographic complementarity, targeting. Degree of flexibility and timeliness in responding to contextual changes and conflict dynamics. Financial adjustments in response to contextual changes. 	Klls, Document review, survey, FGD where appropriate	Archive documents, Norway aid institutions, agreement and implementing partners
EQ l.c. To what extent (and eventually actors)?	how) have these efforts been coordinated with those of other actors (e.g. other OECD DA	C countries, multilateral
Coordination (External) Line of inquiry: To what extent did Norwegian actors coordinate with external aid actors to foster improved synergies between H, D and P.	 Evidence of coordination between Norwegian and other aid actors (donor countries, government, H - D and P actors) through coordination efforts: Evidence of participation in relevant coordination structures at country level. Evidence of supporting multi-donor or trust funds at country level. Evidence of complementarity with other donor -; UN - and government strategies. Evidence of coordination in the event of new emerging crises. Evidence of alignment with multilateral and national response plans. 	Klls, Document review, survey, FGD where appropriate.	Archive documents, minutes of meetings, reports.



Components	Indicators	Methods	Sources
EQ II. To what extent are Norway's hinterventions and Norway's normative	umanitarian, development and peace interventions coherent at the commitments)?	policy level (i.e., t	he relationship between
EQ II.a. To what extent (and eventually sensitivity?	how) are Norway's humanitarian, development, and peace intervention	s consistent with it	s commitment to conflict
Line of Inquiry. To what extent did conflict analyses inform conflict-sensitive action.	 Evidence of consideration of joint conflict analyses by HDP actors supported by Norway at country level. Evidence of conflict and context analyses being shared in the same areas of intervention. Evidence of understanding on the effects of aid on conflict dynamics in communities. Evidence programme interventions consider conflict dynamics at community levels. Evidence of regular assessments or the continued relevance and impact of H, D and P interventions in conflict context at community level. Evidence of understanding and application of Do No Harm approaches in H, D and P interventions. Evidence context and conflict analyses were inclusive, participatory and action oriented. Evidence of mitigation of conflict drivers in aid allocation. Allocation of funding in and between communities considers conflict drivers and dynamics. Evidence of use international standards and guidance in conducting conflict analysis. 	review, survey, FGD where appropriate, community and site visits where	Archive documents, Norway aid institutions, agreement and implementing partners' project documentation.



Components	Indicators	Methods	Sources
EQ II.b. To what extent (and eventual	y how) are Norway's humanitarian, development and peace efforts con	sistent with Norwa	y's commitments related
to the localisation agenda?			
Line of Inquiry: Localization in HDP	Evidence of joint understanding of localisation in the three parts of the	Klls, Document	Archive documents,
strategy, planning, and implementation	nexus (H, D and P).	review, survey,	Norway aid institutions,
	• Evidence of locally led development, humanitarian and peace efforts.	FGD where	agreement and
	• Evidence of program handovers to national or local implementing partners.	appropriate	implementing partners
	• Financial budget allocations between agreement and implementing partners.		
	Evidence of capacity support to national and local implementing partners.		
	• Evidence of the development of national and local systems across the		
	three parts of the nexus.Documentation describing Norwegian policy or approach to		
	localization in country.		
FO II a Ta subat automt (and according	1		d annua abaa aanaaialla
	y how) are Norway's humanitarian, development and peace efforts att parency, voice and participation and non-discrimination?	uned to rights-base	ed approaches, especially
Line of Inquiry: To what extent are		Klls, Document	Archive documents,
rights-based approaches	community accountability mechanisms in place.	review, survey,	Norway aid institutions,
operationalised.	Evidence of changes to programmes following feedback from affected communities and right-holders.	FGD where	agreement and
	 Evidence of mechanisms in place to share information on programme 	appropriate	implementing partners
	interventions with relevant stakeholders in a transparent manner.		
	Evidence of considerations of voices and capacities of local		
	communities during planning and implementation.		
	 Evidence of steps taken to support inclusion and equity in access, 		
	programmes focus on marginalized and excluded groups.		
	Programmes aim to reduce disparity.		
	Evidence of operational guidance on rights-based approaches		
	provided to and operationalised by agreement partners and implementing partners.		
	Assessments identify human rights claims of rights-holders and		
	human rights obligations of duty-bearers.		
	Programmes identify strategies for rights holders to claim their rights.		



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r. (confidence)	city building of national systems in opment programmes. If link between conflict drivers and ar conflict analysis. It is not to health services delivery. It is not to health services delivery.	Ith specific outcomes addressing City building of national systems in opment programmes. If link between conflict drivers and ar conflict analysis. Toach in health services delivery. Cesses between humanitarian and continuity of services.



Annex 7: General context

This section provides a brief overview of the context for this study in terms of (i) Norwegian humanitarian efforts, development efforts, and peace efforts; and (ii) the rise of the humanitarian-development-peace nexus. It was produced during the inception phase of this study.

A. Overview of Norwegian humanitarian efforts, development efforts, and peace efforts

Norwegian humanitarian, development, and peace efforts are channeled through the Norwegian government and parliament to Norway's Ministry of Foreign Affairs (NMFA), Norad, and to local embassies. The Norwegian government presents its proposed development cooperation policy to the Norwegian Parliament, notably through the yearly state budget. The Parliament then decides on the objectives of Norwegian development aid, notably which countries and topics to prioritize, and the amount to spend each year.

Given its adopted development policy, the Norwegian Ministry of Foreign Affairs (NMFA) decides on strategies for cooperation with the respective host countries. The MFA manages the long-term state-to-state cooperation with countries in Africa, Asia, South-America and Europe, as well as Norway's multilateral aid and the Norwegian humanitarian aid (Section for Humanitarian Affairs).

Norad is a Directorate under the Ministry of Foreign Affairs and the Ministry of climate and environment. Its mandate is to contribute to an effective and efficient distribution of the development funds, advising those managing the development aid funds. Norad is also managing the public funds that are channelled through the Norwegian volunteer organizations, international organizations, research and business for development purposes. Norad also has a mandate to quality assure and evaluate the development cooperation, as mandated by the Ministry of Foreign Affairs, and to communicate the results of Norwegian development cooperation and to engage public debates about Norway's development aid⁷².

The Norwegian Embassies play an important role in implementing cooperation in the selected countries, maintaining contact with the local authorities and civil society, with multilateral organizations' local representations and other international collaboration partners.

Norway's history as a development aid provider goes far back to the 1950s, when it was still itself receiving Marshall aid in the post-war period. It has since grown to become an important humanitarian donor, and its peace engagements (especially since the 1990s) are also well known despite varied success. Norway's self-image as an important humanitarian actor and peace broker continues to nourish its ambitions in the area. The idea of joint thinking around these lines of efforts is not new either, but it was reinforced with the World Humanitarian Summit and the New Way of Working initiative. The nexus approach then became an important objective in Norway's humanitarian strategy launched in 2018 (for the period 2019-2023). Spokespersons from the Norwegian Ministry of Foreign Affairs will however stress that nexus thinking is not about merging everything – but about coordination and cooperation between different actors with different mandates. Notably, different approaches and financing tools should not undermine the space for humanitarian principles and the ability of humanitarian actors to respond rapidly.

7

NORAD, «Slik er norsk bistand organisert» (How Norwegian development aid is organized), https://www.Norad.no/om-bistand/slik-er-norsk-bistand-organisert/ (Accessed 25 May 2023)



B. Rise of HDP Nexus

The UN Secretary General's report for the 2016 World Humanitarian Summit outlined a *New Way of Working* that aimed to change the approach of aid assistance by looking at humanitarian needs as results of broader injustices and structural inequalities. The report proposed three fundamental shifts: (a) reinforcing, not replacing, national and local systems, (b) anticipating, not waiting, for crises, and (c) transcending the humanitarian-development divide by working towards collective outcomes, based on comparative advantage and over multi-year timeframes. The Humanitarian-Development-Peace (HDP) nexus approach pushed beyond the programmatic and conceptual approaches long-running in the humanitarian and development fields – namely, *disaster risk reduction* (DRR), *linking relief, rehabilitation and development* (LRRD), the *resilience agenda*, and embedding conflict sensitivity into programming. The nexus approach instead places structural risks and shifts at the centre of aid planning and financing.

Following the summit, the *Humanitarian, Development, and Peace (HDP) nexus* approach to aid became a recurrent term in the agenda of international development cooperation. In 2019, the OECD's Development Assistance Committee (DAC) adopted recommendations to better integrate the nexus into programming, prioritising the integration of local capacities and resourcing political engagement where needed; putting people at the centre; emphasizing peacebuilding and development while ensuring immediate humanitarian needs are met; and providing predictable, multi-year financing.⁷⁵ The World Bank and the European Union have similarly issued guidance on better integrating the nexus and presenting a more holistic view towards programming.^{76,77}

Norway, as did other OECD-DAC countries, outlined ways of working to enhance the coherence of their humanitarian, development, and peace efforts. Its *Humanitarian Strategy for 2019-2023*, the first of its kind, emphasized an integrated approach that builds linkages between coordinated humanitarian efforts, long-term development assistance, and peacebuilding within a shared context. It also pushed for global and country programming that aims – in addition to humanitarian response – to reduce future humanitarian need, increase risk-tolerance in development efforts, and treat separate financing items as parts of a whole.⁷⁸

⁷³ 2016. A/70/709. United Nations General Assembly. "One Humanity: shared responsibility. Report of the Secretary-General for the World Humanitarian Summit". https://documents-dds-ny.un.org/doc/UNDOC/GEN/N16/025/68/PDF/N1602568.pdf

OXFAM. June 2019. The Humanitarian-Development-Peace Nexus: What does it mean for multi-mandated organizations?

OECD, DAC Recommendation on the Humanitarian-Development-Peace Nexus, OECD/LEGAL/5019

⁷⁶ World Bank. (2018). Maximizing the Impact of the World Bank Group in Fragile and Conflict-Affected Situations. <u>https://documents1.worldbank.org/curated/en/855631522172060313/pdf/124654-WP-PUBLIC-MaximizingImpactLowresFINAL.pdf</u>

European Commission. January 2018 – Council Conclusions on the Integrated Approach to External Conflicts and Crises. https://data.consilium.europa.eu/doc/document/ST-5413-2018-INIT/en/pdf

⁷⁸ Norwegian Ministry of Foreign Affairs. August 2018. Norway's Humanitarian Strategy: An effective and integrated approach.



Annex 8: Survey

A. Survey process

As part of the evaluation, ADE conducted an online survey to further complement and triangulate the findings collected by other evaluation tools (KIIs, FGDs, documentary reviews, portfolio analysis). This survey was structured in line with the evaluation matrix (see annex 6). It was kept relatively short with the aim of taking less than 15 minutes for respondents to complete.

The survey was conducted in English and was administered through the online tool Kobo Toolbox, which is renowned for its user-friendly interface, facilitating use for participants. Responses were anonymous for upholding confidentiality and enhancing reliability.

Respondents

The target audience for the survey were stakeholders involved in Norwegian aid in the three case countries (**DRC**, **Ethiopia**, and **Lebanon**) and **globally**. They can be categorized into 3 groups of respondents:

- Norwegian Institutions: This includes staff working (i) in Norwegian embassies, (ii) in the
 Oslo-based Ministry of Foreign Affairs, and (iii) in Norad, both based in Norway and incountry.
- **Stakeholders cooperating with Norway**: This encompasses staff working in organizations involved in cooperation with Norway, specifically those engaged as implementing partners and/or those with formal funding agreements with Norway.
- **Other Actors**: This broader category includes various secondary actors, such as other donors (e.g., international or bilateral institutions), local governments, academic institutions, and local actors (such as civil society and non-governmental organizations) not receiving funding from Norway.

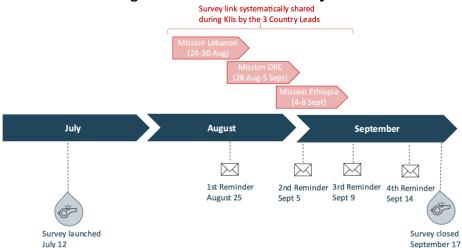
A total of 476 potential informants were contacted by email. They consisted of people identified by Norad or met by the evaluators in the three countries visited. Out of these, 150 encountered delivery issues and 16 were later assessed as off-target. Consequently, 310 emails successfully reached the intended recipients. Overall, **91 responses were collected**, resulting in a **29% response rate**.

Timeline of the survey

The evaluation team launched the survey on July 12, 2023. Targeted informants were contacted by email. A total of four reminder emails were sent subsequently, on August 25 and September 5, 9 and 14, 2023. Additionally, during the three field missions, the evaluators systematically shared the survey link to relevant stakeholders met through KIIs and FGDs. The survey was officially closed on September 17, 2023.



Figure 6: Timeline of the survey



Structure of the survey

Following a preliminary section identifying the respondents' background, the questionnaire was structured around 5 sections, each closely aligned with specific Judgement Criteria and Indicators of the evaluation matrix:

- 1. Familiarity with Norway's initiatives in humanitarian, development and peace.
- 2. Coherence within/between the interventions funded by Norway.
- 3. Coherence between the Humanitarian, Development and Peace interventions supported by Norway and those supported by other actors.
- 4. Coherence between Norway interventions and Norway's commitments to conflict sensitivity and localization.
- 5. Coherence between Norway interventions and Norway's commitments to human rights-based approaches.

The questionnaire encompassed a comprehensive set of 67 questions. For most of these inquiries, respondents were given the choice to rate on a 1 (the least positive option) to 5 (the most positive one) point scale. This aimed at providing respondents with a nuanced range of options to express their views, ensuring a more precise assessment of their perspectives. The table below details where this scale was used throughout the questionnaire.



Table 3: Scale meaning

1	2	3	4	5
Not at all	Very little	Moderate	Very much	Completely
Strongly disagree	Disagree	Neither agree or disagree	Agree	Strongly agree
Never	Rarely	Sometimes	Often	Always

Given that the respondents had various access to the information due to their different level of involvement in Norwegian international cooperation organizations, they were systematically given the possibility to answer 'I don't know' (further translated by N/A value in the analysis of the results).

The introduction section of the survey was used to identify sub-groups of respondents and analyze possible variations with the global average and from one sub-group to another. For this purpose, 4 groups have been established, each with its own subdivisions, based on: (i) **geographical location** with (a) Lebanon-, (b) Ethiopia- and (c) DRC-based respondents; (ii) **thematic field** with (a) respondents involved in Development work, (b) Humanitarian aid, and (c) Peace work; (iii) **work level**, with (a) respondents working in Norway, (b) at the national level, and (c) at the subnational level; (iv) **organizational affiliation**, with (a) respondents working within Norwegian institutions, and (b) working in organizations cooperating with Norway.

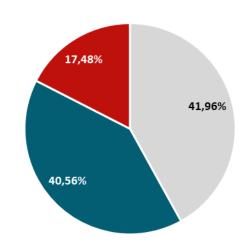
Using the scale range 1 to 5, the average value has been computed for each response, both on a global level and for each subgroup. A color-coding system was employed to highlight discrepancies between the overall average and each subgroup. Red cells indicate that respondents within the corresponding subgroup hold a less favorable perspective compared to the average, while green cells signify a more positive viewpoint. However, one should note that these averages have only an indicative purpose, notably for allowing easy comparisons and identification of outliers, and should be cautiously used as the response rate in several subgroups remains low.



B. Survey results

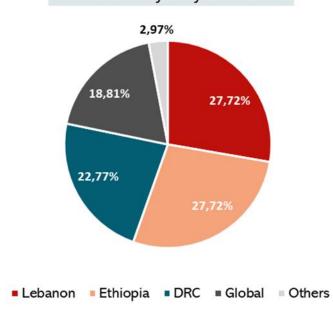
Identification questions

In which kind of organisation do you work?



■ Stakeholders Cooperating With Norway ■ Norvegian Institutions ■ Other Actors Source: ADE

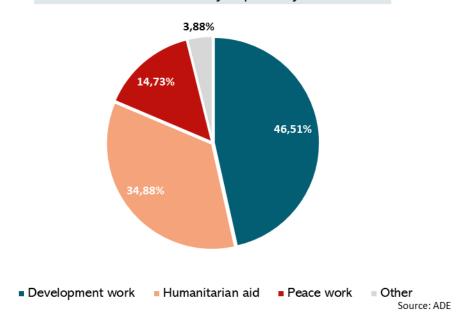
In which country are you involved?



Source: ADE

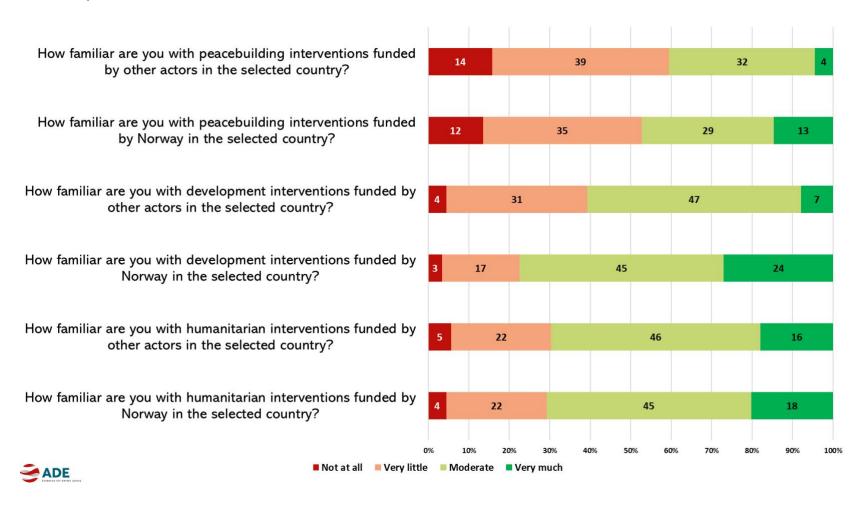


In which thematic field have you primarily worked with?





Section 1: Familiarity with Norway's initiatives in humanitarian, development and peace (Global responses)





				BAL					Leba						Ethi				DRC NUMBER OF RESPONDENTS (ABSOLUTE								
	Not at all	Very little	Moderate	Very much	Completly	Total All Responses	Not at all	Very little	M oderate	Very much	Completly S	Total All Responses	Not at all	Very little	M oderate	Very much	Completiy	Total All Responses	Not at all	Very little	M oderate	Very much	Completiy	Total All Responses			
How familiar are you with humanitarian interventions funded by Norway in the selected country?	4	22	45	18	0	<u>89</u>	1	3	15	9	0	28	0	7	13	8	0	<u>28</u>	2	6	12	3	0	<u>23</u>			
How familiar are you with humanitarian interventions funded by other actors in the selected country?	5	22	46	16	0	<u>89</u>	2	2	19	5	0	28	1	7	15	5	0	<u>28</u>	1	7	7	8	0	<u>23</u>			
How familiar are you with development interventions funded by Norway in the selected country?	3	17	45	24	0	<u>89</u>	0	3	17	8	0	28	0	3	13	12	0	<u>28</u>	2	3	13	5	0	<u>23</u>			
How familiar are you with development interventions funded by other actors in the selected country?	4	31	47	7	0	<u>89</u>	0	9	17	2	0	28	1	9	16	2	0	28	1	8	11	3	0	<u>23</u>			
How familiar are you with peacebuilding interventions funded by Norway in the selected country?	12	35	29	13	0	<u>89</u>	3	9	12	4	0	28	4	9	8	7	0	<u>28</u>	4	12	5	2	0	<u>23</u>			
How familiar are you with peacebuilding interventions funded by other actors in the selected country?	14	39	32	4	0	<u>89</u>	4	13	9	2	0	28	5	10	12	1	0	<u>28</u>	4	9	9	1	0	<u>23</u>			



	OVERALL AVERAGE	Lebanon	Ethiopia	DRC	Development Work	Humanitarian aid	Peace Work	Working in Norway	Working At National Level	Working at the subnational level	Stakeholders cooperating with Norway*	Norvegian Institutions
						AVERAG	E VALUE	S				
			1 - How	familia	r are you	ı with H	DP Next	us interv	entions/	?		
How familiar are you with humanitarian interventions funded by Norway in the selected country?	2,87	3,14	3,04	2,70	2,97	2,98	2,71	2,92	2,89	2,70	2,98	2,81
How familiar are you with humanitarian interventions funded by other actors in the selected country?	2,82	2,96	2,86	2,96	2,91	2,86	2,71	2,84	2,78	2,90	2,95	2,74
How familiar are you with development interventions funded by Norway in the selected country?	3,01	3,18	3,32	2,91	3,14	3,05	3	3,05	3,03	3,10	3,14	3,02
How familiar are you with development interventions funded by other actors in the selected country?	2,64	2,75	2,68	2,70	2,72	2,67	2,65	2,63	2,67	2,70	2,70	2,60
How familiar are you with peacebuilding interventions funded by Norway in the selected country?	2,48	2,61	2,64	2,22	2,6	2,48	2,35	2,39	2,58	2,50	2,60	2,38
How familiar are you with peacebuilding interventions funded by other actors in the selected country?	2,29	2,32	2,32	2,30	2,36	2,19	2,12	2,21	2,36	2,30	2,98	2,19

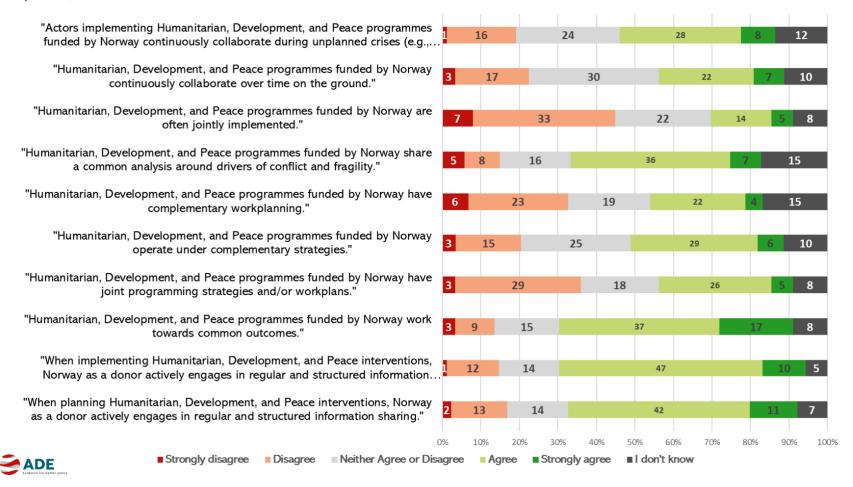
^{*} Included here: Organizations implementing projects funded by Norway with a formal funding agreement with Norway. Excluded: Universities, other donors, other, local actors not receiving funding support from Norway and others

Note: The rating scale used here was from 1 to 4: 1.Not at all, 2.Very little, 3.Moderate, 4.Very Much.



Section 2: Coherence within/between the interventions funded by Norway

(Global responses)





				GLO		IDENTS			Lebanon NUMBER OF RESPONDENTS (ABSOLUTE VALUES)										Ethiopia NUMBER OF RESPONDENTS (ABSOLUTE VALUES)								DRC NUMBER OF RESPONDENTS (ABSOLUTE VALUES)								
	Strongly disagree	Diagree			Strongly agree	I don't know	Total All Responses	Total All Opinions	Strongly disagree	Diagree			Strongly agree	I don't know / N.A.	Total All Responses	Total All Opinions	Strongly disagree	Diagree			Strongly agree	I don't know / N.A.	Total All Responses	Total All Opinions	Strongly disagree	Diagree			Strongly agree	I don't know / N.A.	Total All Responses	Total All Opinions			
"When planning Humanitarian, Development, and Peace interventions, Norway as a donor actively engages in regular and structured information sharing."	2	13	14	42	11	7	89	82	0	3	6	14	3	2	28	26	0	7	3	13	4	1	28	27	0	4	4	11	3	1	23	22			
"When implementing Humanitarian, Development, and Peace interventions, Norway as a donor actively engages in regular and structured information sharing."	1	12	14	47	10	5	89	84	0	2	6	17	2	1	28	27	0	5	3	15	4	1	28	27	0	5	3	12	2	1	23	22			
"Humanitarian, Development, and Peace programmes funded by Norway work towards common outcomes."	3	9	15	37	17	8	89	81	0	3	5	12	6	2	28	26	0	6	6	10	6	0	28	28	1	1	2	12	4	3	23	20			
"Humanitarian, Development, and Peace programmes funded by Norway have joint programming strategies and/or workplans."	3	29	18	26	5	8	89	81	1	7	8	9	2	1	28	27	2	11	8	7	0	0	28	28	0	7	1	10	1	4	23	19			
"Humanitarian, Development, and Peace programmes funded by Norway operate under complementary strategies."	3	15	25	29	6	10	<u>88</u>	78	0	5	6	13	2	2	28	26	1	8	6	8	3	1	27	26	0	2	11	5	1	4	23	19			
"Humanitarian, Development, and Peace programmes funded by Norway have complementary workplanning."	6	23	19	22	4	15	<u>89</u>	74	1	6	5	11	2	3	28	25	4	8	6	5	2	3	28	25	0	8	7	3	0	5	23	18			
"Humanitarian, Development, and Peace programmes funded by Norway share a common analysis around drivers of conflict and fragility."	5	8	16	36	7	15	<u>87</u>	72	1	2	4	14	1	6	28	22	3	4	1	10	5	4	27	23	1	1	6	10	1	3	22	19			
"Humanitarian, Development, and Peace programmes funded by Norway are often jointly implemented."	7	33	22	14	5	8	<u>89</u>	81	2	12	3	8	1	2	28	26	4	9	8	3	3	1	28	27	0	11	6	3	1	2	23	21			
"Humanitarian, Development, and Peace programmes funded by Norway continuously collaborate over time on the ground."	3	17	30	22	7	10	<u>89</u>	79	0	5	8	9	4	2	28	26	1	6	12	5	2	1	27	26	0	5	8	6	0	4	23	19			
"Actors implementing Humanitarian, Development, and Peace programmes funded by Norway continuously collaborate during unplanned crises (e.g., new conflicts, Covid-19, etc.)."	1	16	24	28	8	12	<u>89</u>	77	0	3	8	10	4	3	28	25	0	4	6	11	3	4	28	24	0	5	10	3	1	4	23	19			



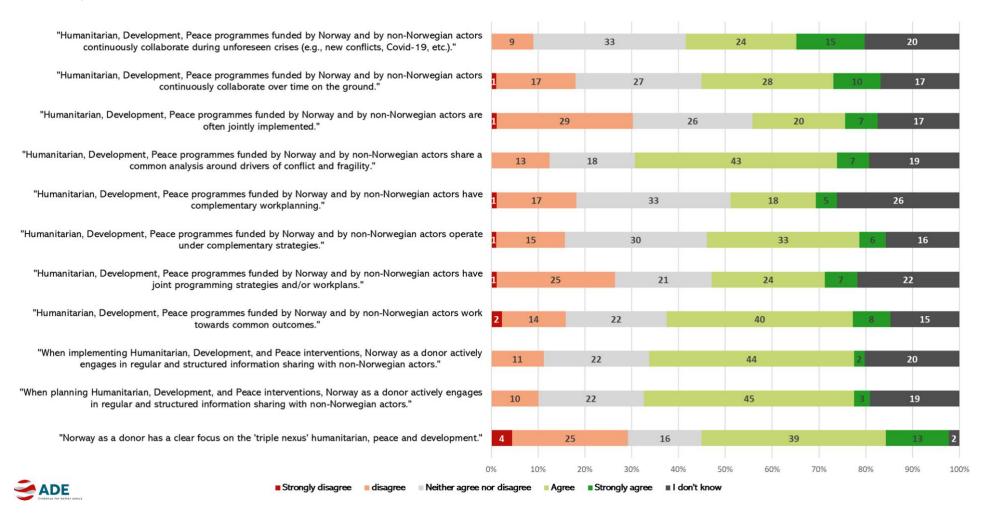
	OVERALL AVERAGE	Lebanon	Ethiopia	DRC	Development Work	Humanitarian aid	Peace Work	Working in Norway	Working At National Level	Working at the subnational level	Stakeholder cooperating with Norway*	Norvegian Institutions
						AVERAG	E VALUE	S				
	2. Co herence v	within/b et v	veen the in	terventio				y our expe	rience, ho	w much do	you agree	with the
"When planning Humanitarian, Development, and					TO IIC	wing state	ments?					
Peace interventions, Norway as a donor actively engages in regular and structured information sharing."	3,57	3,65	3,52	3,59	3,72	3,68	3,71	3,51	3,58	3,67	3,58	3,61
"When implementing Humanitarian, Development, and Peace interventions, Norway as a donor actively engages in regular and structured information sharing."	3,63	3,70	3,67	3,50	3,71	3,68	3,82	3,58	3,62	3,89	3,68	3,68
"Humanitarian, Development, and Peace programmes funded by Norway work towards common outcomes."	3,69	3,81	3,57	3,85	3,69	3,68	3,71	3,57	3,73	3,89	3,63	3,61
"Humanitarian, Development, and Peace programmes funded by Norway have joint programming strategies and/or workplans."	3,01	3,15	2,71	3,26	2,94	2,83	2,76	2,77	2,97	2,78	2,98	2,83
"Humanitarian, Development, and Peace programmes funded by Norway operate under complementary strategies."	3,26	3,46	3,15	3,26	3,25	3,41	3,06	3,27	3,21	2,86	3,28	3,08
"Humanitarian, Development, and Peace programmes funded by Norway have complementary workplanning."	2,93	3,28	2,72	2,72	2,98	2,85	2,80	3,00	2,90	2,33	2,92	2,89
"Humanitarian, Development, and Peace programmes funded by Norway share a common analysis around drivers of conflict and fragility."	3,44	3,55	3,43	3,47	3,48	3,58	3,53	3,45	3,37	3,29	3,41	3,31
"Humanitarian, Development, and Peace programmes funded by Norway are often jointly implemented."	2,72	2,77	2,70	2,71	2,79	2,76	2,59	2,57	2,79	2,56	2,78	2,62
"Humanitarian, Development, and Peace programmes funded by Norway continuously collaborate over time on the ground."	3,16	3,46	3,04	3,05	3,30	3,24	3,00	3,11	3,19	3,00	3,24	3,08
"Actors implementing Humanitarian, Development, and Peace programmes funded by Norway continuously collaborate during unplanned crises (e.g., new conflicts, Covid-19, etc.)."	3,34	3,60	3,54	3,00	3,33	3,54	3,40	3,23	3,40	3,25	3,39	3,22

^{*} Included here: Organizations implementing projects funded by Norway with a formal funding agreement with Norway. Excluded: Universities, other donors, other, local actors not receiving funding support from Norway and others.

Note: The rating scale used here was from 1 to 4: 1. Strongly disagree, 2. Disagree, 3. Neither agree nor disagree, 4. Agree. 5. Strongly agree



Section 3: Coherence between the Humanitarian, Development and Peace interventions supported by Norway and those supported by other actors (Global responses)





				GLO	BAL			Lebanon								Ethiopia							DRC									
			NUMB	ER OF	RESPON	IDENTS			N	JMBER	OF RES	PONDE	NTS (AE	SOLUT	E VALU	ES)	NU	MBER	OF RESE	ONDE				JES)	NU	MBER	OF RESE	ONDE	ITS (AE	SOLUT	E VALUE	ES)
	Strongly disagree	Disagree			Strongly agree	Idon't know/ N.A.	Total All Responses	Total All Opinions	Strongly	Disagree			Strongly agree	Idon't know/ N.A.	Total All Responses	Total All Opinions	Strongly disagree	Disagree			Strongly agree	Idon't know/ N.A.	Total All Responses	Total All Opinions	Strongly disagree	Disagree			Strongly agree	Idon't know/ N.A.	Total All Responses	Total All Opinions
"Norway as a donor has a clear focus on the 'triple nexus' humanitarian, peace and development."	4	22	14	35	12	2	89	87	0	7	4	12	5	0	28	28	2	8	2	11	4	1	28	27	0	4	7	8	3	1	23	22
"When planning Humanitarian, Development, and Peace interventions, Norway as a donor actively engages in regular and structured information sharing with non-Norwegian actors."	0	9	20	40	3	17	89	72	0	1	10	12	2	3	28	25	0	4	4	13	1	6	28	22	0	3	3	10	0	7	23	16
"When implementing Humanitarian, Development, and Peace interventions, Norway as a donor actively engages in regular and structured information sharing with non-Norwegian actors."	0	10	20	39	2	18	<u>89</u>	71	0	1	8	13	1	5	28	23	0	5	3	14	1	5	28	23	0	2	5	9	0	7	23	16
"Humanitarian, Development, Peace programmes funded by Norway and by non-Norwegian actors work towards common outcomes."	2	12	19	35	7	13	<u>88</u>	75	1	3	5	11	4	3	27	24	1	4	8	10	2	3	28	25	0	1	3	12	1	6	23	17
"Humanitarian, Development, Peace programmes funded by Norway and by non-Norwegian actors have joint programming strategies and/or workplans."	1	22	18	21	6	19	<u>87</u>	68	0	6	4	10	3	5	28	23	1	11	4	4	2	6	28	22	0	3	4	8	1	6	22	16
"Humanitarian, Development, Peace programmes funded by Norway and by non-Norwegian actors operate under complementary strategies."	1	13	27	29	5	14	<u>89</u>	75	0	3	6	13	3	3	28	25	1	6	9	8	1	3	28	25	0	2	7	8	1	5	23	18
"Humanitarian, Development, Peace programmes funded by Norway and by non-Norwegian actors have complementary workplanning."	1	15	29	16	4	23	<u>88</u>	65	0	2	10	8	2	6	28	22	1	6	8	5	2	5	27	22	0	3	8	4	0	8	23	15
"Humanitarian, Development, Peace programmes funded by Norway and by non-Norwegian actors share a common analysis around drivers of conflict and fragility."	0	11	16	38	6	17	88	71	0	0	5	14	5	4	28	24	0	6	4	14	0	4	28	24	0	1	3	11	1	6	22	16
"Humanitarian, Development, Peace programmes funded by Norway and by non-Norwegian actors are often jointly implemented."	1	25	22	17	6	15	<u>86</u>	71	0	5	7	8	3	5	28	23	1	11	7	4	2	2	27	25	0	4	5	6	1	5	21	16
"Humanitarian, Development, Peace programmes funded by Norway and by non-Norwegian actors continuously collaborate over time on the ground."	1	15	24	25	9	15	<u>89</u>	74	0	3	6	12	5	2	28	26	1	10	7	4	3	3	28	25	0	2	7	8	0	6	23	17
"Humanitarian, Development, Peace programmes funded by Norway and by non-Norwegian actors continuously collaborate during unforeseen crises (e.g., new conflicts, Covid-19, etc.)."	0	8	29	21	13	18	<u>89</u>	71	0	0	8	12	6	2	28	26	0	5	8	5	5	5	28	23	0	1	9	4	1	8	23	15



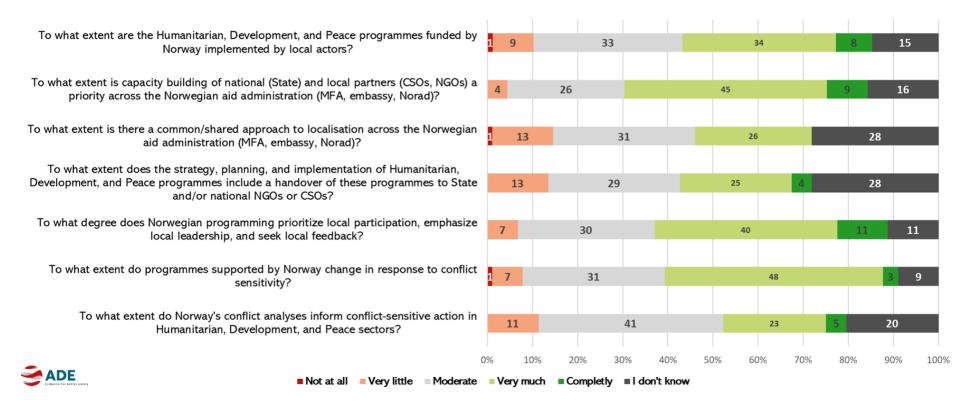
	OVER ALL AVERA GE	Lebanon	Ethiopia	DRC	Develop ment Work	Humanit arian aid	E VALUE	Working in Norway	Working At National	Working at the	Stakehol der monerat	Norvegia n Institutio
	3. Coherence be	tween the other actor			opment ar	nd Peace i	nterventic	ns suppoi				orted by
"Norway as a donor has a clear focus on the 'triple nexus' humanitarian, peace and development."	3,33	3,54	3,26	3,45	3,32	3,54	3,29	3,11	3,46	3,40	3,49	3,05
"When planning Humanitarian, Development, and Peace interventions, Norway as a donor actively engages in regular and structured information sharing with non-Norwegian actors."	3,51	3,60	3,50	3,44	3,54	3,59	3,58	3,45	3,50	3,75	3,53	3,55
"When implementing Humanitarian, Development, and Peace interventions, Norway as a donor actively engages in regular and structured information sharing with non- Norwegian actors."	3,46	3,61	3,48	3,44	3,49	3,50	3,50	3,42	3,46	3,57	3,53	3,45
"Humanitarian, Development, Peace programmes funded by Norway and by non-Norwegian actors work towards common outcomes."	3,44	3,58	3,32	3,76	3,48	3,65	3,06	3,41	3,44	3,63	3,34	3,43
"Humanitarian, Development, Peace programmes funded by Norway and by non-Norwegian actors have joint programming strategies and/or workplans."	3,13	3,43	2,77	3,44	3,20	3,25	2,83	3,00	3,22	3,14	3,25	2,91
"Humanitarian, Development, Peace programmes funded by Norway and by non-Norwegian actors operate under complementary strategies."	3,32	3,64	3,08	3,44	3,29	3,44	3,00	3,26	3,42	3,00	3,34	3,09
"Humanitarian, Development, Peace programmes funded by Norway and by non-Norwegian actors have complementary workplanning."	3,11	3,45	3,05	3,07	3,09	3,23	2,75	3,00	3,28	2,88	3,13	3,00
"Humanitarian, Development, Peace programmes funded by Norway and by non-Norwegian actors share a common analysis around drivers of conflict and fragility."	3,55	4,00	3,33	3,75	3,52	3,76	3,36	3,50	3,54	3,86	3,51	3,53
"Humanitarian, Development, Peace programmes funded by Norway and by non-Norwegian actors are often jointly implemented."	3,03	3,39	2,80	3,25	3,06	3,16	2,80	2,91	3,15	2,75	3,14	2,83
"Humanitarian, Development, Peace programmes funded by Norway and by non-Norwegian actors continuously collaborate over time on the ground."	3,35	3,73	2,92	3,35	3,31	3,59	3,12	3,19	3,48	3,56	3,57	3,03
"Humanitarian, Development, Peace programmes funded by Norway and by non-Norwegian actors continuously collaborate during unforeseen crises (e.g., new conflicts, Covid-19, etc.)."	3,55	3,92	3,43	3,33	3,52	3,76	3,56	3,44	3,68	3,63	3,65	3,38

[•] Included here: Organizations implementing projects funded by Norway with a formal funding agreement with Norway. Excluded: Universities, other donors, other, local actors not receiving funding support from Norway and others

Note: The rating scale used here was from 1 to 5: 1.Strongly Disagree, 2.Disagree, 3.Neithere agree nor disagree, 4.Agree, 5.Strongly agree



Section 4: Coherence between Norway interventions and Norway's commitments to conflict sensitivity and localization (Global responses)





				Glo	bal				Lebanon Number of respondents									Ethiopia NUMBER OF RESPONDENTS									DRC							
	Notatall	Ve ry fittle	Moderate	Very much	Completely	I don't know / N.A.	Total All Res ponses	Total All Opinions	Notatall	Ve ry fittle	Moderate	Very much	Completely Completely	I don't know / N.A.	Total All Res ponses	Total All Opinions	Notatall	Ve ry little	Moderate	Very much	Completely	I don't know / N.A. A.	Total All Res ponses	Total All Opinions	Notatall	Ve ry little	Moderate	Very much	Completely Completely	I don't know / N.A.	Total All Res ponses	Total All Opinions		
To what extent do Norway's conflict analyses inform conflict-sensitive action in Humanitarian, Development, and Peace sectors?	0	10	36	20	4	18	<u>88</u>	70	0	2	7	10	2	7	<u>28</u>	21	0	7	11	4	0	6	<u>28</u>	22	0	2	10	5	1	4	<u>22</u>	18		
To what extent do programmes supported by Norway change in response to conflict sensitivity?	1	6	28	43	3	8	<u>89</u>	81	0	1	9	15	2	1	<u>28</u>	27	1	2	9	15	0	1	<u>28</u>	27	0	2	6	10	1	4	<u>23</u>	19		
To what degree does Norwegian programming prioritize local participation, emphasize local leadership, and seek local feedback?	0	6	27	36	10	10	<u>89</u>	79	0	1	8	14	4	1	<u>28</u>	27	0	4	9	10	2	3	<u>28</u>	25	0	2	8	6	4	3	<u>23</u>	20		
To what extent does the strategy, planning, and implementation of Humanitarian, Development, and Peace programmes include a handover of these programmes to State and/or national NGOs or CSOs?	0	12	26	22	4	25	<u>89</u>	64	0	1	8	11	1	7	<u>28</u>	21	0	4	11	7	1	5	<u>28</u>	23	0	2	8	3	2	8	<u>23</u>	15		
To what extent is there a common/shared approach to localisation across the Norwegian aid administration (MFA, embassy, Norad)?	1	12	28	23	0	25	<u>89</u>	64	0	2	9	10	0	7	<u>28</u>	21	0	7	7	4	0	10	<u>28</u>	18	0	4	6	6	0	7	<u>23</u>	16		
To what extent is capacity building of national (State) and local partners (CSOs, NGOs) a priority across the Norwegian aid administration (MFA, embassy, Norad)?	0	4	23	40	8	14	<u>89</u>	75	0	0	6	14	3	5	<u>28</u>	23	0	1	9	14	2	2	28	26	0	2	7	9	2	3	23	20		
To what extent are the Humanitarian, Development, and Peace programmes funded by Norway implemented by local actors?	1	8	29	30	7	13	<u>88</u>	75	0	1	9	12	2	3	<u>27</u>	24	1	6	6	8	4	3	<u>28</u>	25	0	2	9	7	1	4	<u>23</u>	19		



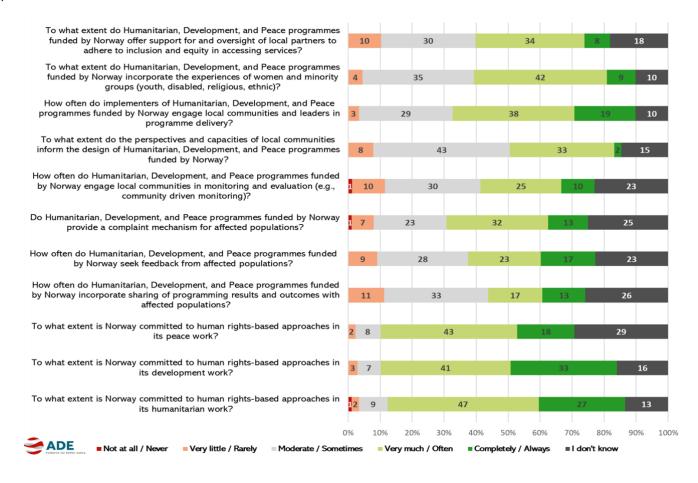
	OVERALL AVERAGE	Lebanon	Ethiopia	DRC	Development Work	Humanitarian aid	Peace Work	Working in Norway	Working At National Level	Working at the subnational level	Stakeholder cooperating with Norway*	Norvæjan Institutions
						AVERAG	E VALUE	S				
	4. Coherenc	e betwee	n Norway	intervent		Norway's on your ex			onflict ser	ısitivit y ar	od localiza	ation.
To what extent do Norway's conflict analyses inform conflict-sensitive action in Humanitarian, Development, and Peace sectors?	3,26	3,57	2,86	3,28	3,24	3,34	3,13	3,42	3,15	2,88	3,32	3,05
To what extent do programmes supported by Norway change in response to conflict sensitivity?	3,51	3,67	3,41	3,53	3,39	3,58	3,31	3,36	3,48	4,00	3,43	3,54
To what degree does Norwegian programming prioritize local participation, emphasize local leadership, and seek local feedback?	3,63	3,78	3,40	3,60	3,60	3,86	3,50	3,74	3,53	3,50	3,64	3,53
To what extent does the strategy, planning, and implementation of Humanitarian, Development, and Peace programmes include a handover of these programmes to State and/or national NGOs or CSOS?	3,28	3,57	3,22	3,33	3,36	3,35	3,15	3,23	3,39	3,25	3,38	3,19
To what extent is there a common/shared approach to localisation across the Norwegian aid administration (MFA, embassy, Norad)?	3,14	3,38	2,83	3,13	3,18	3,12	3,08	3,13	3,23	2,80	3,23	3,06
To what extent is capacity building of national (State) and local partners (CSOs, NGOs) a priority across the Norwegian aid administration (MFA, embassy, Norad)?	3,69	3,87	3,65	3,55	3,75	3,79	3,56	3,76	3,70	3,38	3,60	3,71
To what extent are the Humanitarian, Development, and Peace programmes funded by Norway implemented by local actors?	3,45	3,63	3,32	3,37	3,56	3,42	3,44	3,32	3,68	3,00	3,51	3,38

[•] Included here: Organizations implementing projects funded by Norway with a formal funding agreement with Norway. Excluded: Universities, other donors, other, local actors not receiving funding support from Norway and others

Note: The rating scale used here was from 1 to 4: 1.Not at all, 2.Very little, 3.Moderate, 4.Very Much. 5. Completely



Section 5: Coherence between Norway interventions and Norway's commitments to human rights-based approaches⁷⁹ (Global responses)



In the table below; In orange: these questions were originally posed on qualitative scale such as: 1. Not at all / 2. Very little / 3. Moderate / 4. Very much / 5. Completely In green: these questions were originally posed on qualitative scale such as: 1. Never / 2. Rarely / 3. Sometimes / 4. Often / 5. Always



	Global NUMBER OF RESPONDENTS						Lebanon NUMBER OF RESPONDENTS									Ethiopia NUMBER OF RESPONDENTS								DRC								
	Not at all / Never	Very lktle /Rarely	Moderate / Sometimes	Very much / Often	Completely / Always OdS33	Idon't know	Total All Responses	Total All Opinions	Not at all / Never	Very little / Rarely	Moderate / Sometimes	Very much / Often	Completely / Always Ods	Idon't know / N.A.	Total All Responses	Total All Opinions	Not at all / Never	Very lktle / Rarely	Moderate / Sometimes BMCN	Very much / Often	Completely / Always GASS	I don't know	Total All Responses	Total All Opinions	Not at all / Never	Very little / Rarely	Moderate / Sometimes	Very much / Often	Completely / Always NOOS	Idon't know	Total All Responses	Total All Opinions
To what extent is Norway committed to human rights-based approaches in its humanitarian work?	1	2	8	42	24	12	89	77	0	0	1	15	10	2	<u>28</u>	26	0	2	4	14	4	4	<u>28</u>	24	0	0	2	10	6	5	<u>23</u>	18
To what extent is Norway committed to human rights-based approaches in its development work?	0	2	5	48	23	11	89	78	0	0	2	15	8	3	28	25	0	2	1	18	5	2	<u>28</u>	26	0	0	1	11	7	4	<u>23</u>	19
To what extent is Norway committed to human rights-based approaches in its peace work?	0	2	7	38	16	26	89	63	0	0	3	12	7	6	<u>28</u>	22	0	1	3	13	4	7	28	21	0	1	1	9	3	9	<u>23</u>	14
How often do Humanitarian, Development, and Peace programmes funded by Norway incorporate sharing of programming results and outcomes with affected populations?	0	10	29	15	12	23	89	66	0	1	11	6	5	5	<u>28</u>	23	0	5	12	4	2	5	<u>28</u>	23	0	2	7	2	5	7	<u>23</u>	16
How often do Humanitarian, Development, and Peace programmes funded by Norway seek feedback from affected populations?	0	8	25	20	15	20	<u>88</u>	68	0	1	9	10	5	3	28	25	0	6	9	6	2	5	<u>28</u>	23	0	2	4	2	7	7	22	15
Do Humanitarian, Development, and Peace programmes funded by Norway provide a complaint mechanism for affected populations?	1	6	20	28	11	22	88	66	0	0	8	10	3	6	<u>27</u>	21	1	4	7	7	4	4	<u>27</u>	23	0	1	3	8	5	6	<u>23</u>	17
How often do Humanitarian, Development, and Peace programmes funded by Norway engage local communities in monitoring and evaluation (e.g., community driven monitoring)?	1	9	26	22	9	20	87	67	0	3	12	7	2	4	28	24	1	6	6	9	4	2	28	26	0	3	5	5	2	6	<u>21</u>	15
To what extent do the perspectives and capacities of local communities inform the design of Humanitarian, Development, and Peace programmes funded by Norway?	0	7	38	29	2	13	<u>89</u>	76	0	1	12	12	0	3	<u>28</u>	25	0	4	14	8	0	2	<u>28</u>	26	0	1	9	6	2	5	<u>23</u>	18
How often do implementers of Humanitarian, Development, and Peace programmes funded by Norway engage local communities and leaders in programme delivery?	0	3	26	34	17	9	89	80	0	0	9	9	7	3	<u>28</u>	25	0	2	6	14	6	0	<u>28</u>	28	0	0	7	10	3	3	<u>23</u>	20
To what extent do Humanitarian, Development, and Peace programmes funded by Norway incorporate the experiences of women and minority groups (youth, disabled, religious, ethnic)?	0	4	31	37	8	9	89	80	0	0	10	12	3	3	<u>28</u>	25	0	2	10	14	2	0	<u>28</u>	28	0	0	6	11	3	3	<u>23</u>	20
To what extent do Human itar ian, Development, and Peace programmes funded by Norway offer support for and oversight of local partners to adhere to inclusion and equity in accessing services?	0	9	26	30	7	16	88	72	0	0	11	11	3	2	<u>27</u>	25	0	4	10	11	2	0	<u>27</u>	27	0	2	4	6	2	9	<u>23</u>	14



	OVERALL AVERAGE	Lebanon	Ethiopia	DRC	Development Work	Humanitarian aid	Peace Work	Working in Norway	Working At National Level	Working at the subnational level	Stakeholder cooperating with Norway*	Norvegian Institutions
							AVERAGI	VALUES				
	5. Coheren	ce betw				ns and N sed on y			tme nts :	to huma	ın rights-	-based
To what extent is Norway committed to human rights-based approaches in its humanitarian work?	4,12	4,35	3,83	4,22	4,18	4,11	4,07	4,15	4,09	4,11	4,18	4,19
To what extent is Norway committed to human rights-based approaches in its development work?	4,18	4,24	4,00	4,32	4,23	4,18	4,18	4,22	4,18	4,11	4,16	4,24
To what extent is Norway committed to human rights-based approaches in its peace work?	4,08	4,18	3,95	4,00	4,12	4,03	4,00	4,20	4,04	3,86	4,00	4,24
To what extent do the perspectives and capacities of local communities inform the design of Humanitarian, Development, and Peace programmes funded by Norway?	3,34	3,44	3,15	3,50	3,31	3,47	3,27	3,40	3,32	3,14	3,44	3,23
To what extent do Humanitarian, Development, and Peace programmes funded by Norway incorporate the experiences of women and minority groups (youth, disabled, religious, ethnic)?	3,61	3,72	3,57	3,85	3,58	3,71	3,47	3,51	3,68	3,75	3,62	3,51
To what extent do Humanitarian, Development, and Peace programmes funded by Norway offer support for and oversight of local partners to adhere to inclusion and equity in accessing services?	3,49	3,68	3,41	3,57	3,54	3,53	3,27	3,43	3,63	3,43	3,64	3,33

^{*} Included here: Organizations implementing projects funded by Norway with a formal funding agreement with Norway. Excluded: Universities, other donors, other, local actors not receving funding support from Norway and others

Note: The rating scale used here was from 1 to 4: 1.Not at all, 2.Very little, 3.Moderate, 4.Very Much. 5. Completely



	OVERALL AVERAGE	Lebanon	Ethiopia	DRC	Development Work	Humanitarian aid	Peace Work	Working in Norway	Working At National Level	Working at the subnational level	Stakeholder cooperating with Norway*	Norvegian Institutions
							AVERAGE	VALUES				
	5. Coheren	ce betw						s commi erience:		to huma	n rights	-based
How often do Humanitarian, Development, and						ĺ						
Peace programmes funded by Norway	3,44	3.65	2 12	2.62	2.25	2 71	2 1 1	2.41	2 54	2.00	2.61	2.00
incorporate sharing of programming results and outcomes with affected populations?	3,44	3,03	3,13	3,63	3,35	3,71	3,14	3,41	3,54	3,00	3,61	3,09
How often do Humanitarian, Development, and												
Peace programmes funded by Norway seek	3,62	3,76	3,17	3,93	3,53	3,75	3,53	3,72	3,74	2,67	3,70	3,46
feedback from affected populations?	,	,	1		Í	•	,	ĺ	,	ĺ	•	, i
Do Humanitarian, Development, and Peace	1											
programmes funded by Norway provide a	3,64	3,76	3,39	4,00	3,58	3,77	3,42	3,47	3,61	4,40	4,00	3,35
complaint mechanism for affected populations?						•			•			
How often do Humanitarian, Development, and												
Peace programmes funded by Norway engage	3,43	3,33	3,35	3,40	3,35	3,41	3,50	3,42	3,65	2,80	3,50	2 20
local communities in monitoring and evaluation	3,43	5,55	3,33	3,40	3,33	3,41	3,30	3,42	3,03	2,60	3,30	3,30
(e.g., community driven monitoring)?]											
How often do implementers of Humanitarian,												
Development, and Peace programmes funded by	3,81	3,92	3,86	3,80	3,72	3,89	3,53	3,75	3,97	3,50	3,92	3,73
Norway engage local communities and leaders in	3,01	3,52	3,00	3,60	3,72	3,09	3,33	3,73	3,31	3,30	3,32	3,73
programme delivery?												

^{*} Included here: Organizations implementing projects funded by Norway with a formal funding agreement with Norway. Excluded: Universities, other donors, other, local actors not receving funding support from Norway and others

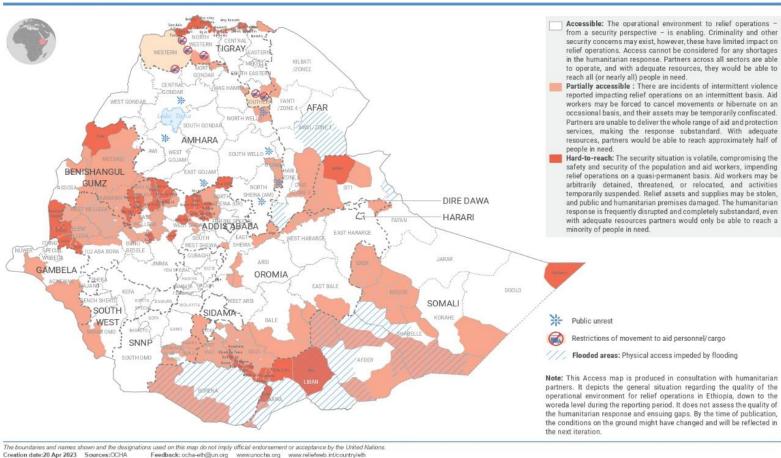
Note: The rating scale used here was from 1 to 4: 1. Never, 2. Rarely, 3. Sometimes, 4. Often. 5. Always



Annex 9: Country Map



April 2023



Department for Evaluation